

## Governance and Compliance Reporting

# **AUD006**

## **Course Description**

This course is designed to equip participants with a comprehensive understanding of governance and compliance reporting frameworks, best practices, and regulatory requirements. Participants will learn how to create, analyze, and present reports that align with corporate governance policies and compliance standards. By the end of the course, attendees will be able to confidently address internal and external stakeholders' expectations for transparency and accountability in a rapidly evolving regulatory environment.

## **Course Objectives**

By the end of this course, participant will be able to:

- Understand the principles and frameworks of corporate governance and compliance.
- Develop skills for preparing accurate and effective governance and compliance reports.
- Learn about regulatory requirements and international standards for compliance reporting.
- Identify risks and opportunities in governance practices and implement mitigation strategies.
- Gain practical insights into improving communication with stakeholders through robust reporting.

#### Who should attend

- Corporate Governance Professionals
- Compliance Officers and Managers
- Risk Management Professionals
- Internal and External Auditors
- Legal Advisors and Regulatory Professionals
- Senior Executives and Board Members seeking a deeper understanding of governance reporting
- Professionals transitioning into governance or compliance roles

#### **Course Duration**

5 Working Days



### **Course Outlines**

#### 1. Introduction to Governance and Compliance

- Fundamentals of corporate governance and compliance
- Key governance frameworks (e.g., OECD Principles, ISO standards)
- The role of compliance in corporate accountability

#### 2. Regulatory Requirements and Reporting Standards

- Overview of global and local regulatory landscapes
- Reporting obligations under corporate laws and regulations
- Case studies on regulatory breaches and lessons learned

#### 3. Governance and Compliance Reporting Essentials

- Structure and content of effective reports
- Metrics and KPIs for governance and compliance
- Tools and technologies for governance reporting

#### 4. Risk Management and Stakeholder Communication

- Identifying and mitigating governance risks
- Stakeholder analysis and engagement strategies
- Enhancing transparency through effective communication

#### 5. Practical Applications and Case Studies

- Preparing compliance reports: Workshop and hands-on activities
- Analyzing real-world governance reports
- Best practices for aligning governance with organizational strategy